



Docket 81661SHS  
Customer No. 01333

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Martin A. Parker, et al

MOTION IMAGE CAPTURE SYSTEM  
INCORPORATING METADATA TO  
FACILITATE TRANSCODING

Serial No. 10/025,529  
Filed December 19, 2001

Commissioner for Patents  
Washington, D.C. 20231

Group Art Unit: 2612

Examiner: Unknown

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*Cheryl L. Betteridge*  
Cheryl L. Betteridge  
Date *March 15, 2002*

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Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION  
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with § 1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under § 1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under § 1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by § 1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

III. ☐ This Statement is being filed under § 1.97(c), with a certification under, § 1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

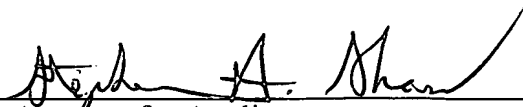
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under § 1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under § 1.97(d), with fee and certification under § 1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by § 1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under § 1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

  
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Enclosures

FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. <b>81661SHS</b> Customer No. 01333		Serial No. <b>10/025,529</b>	
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97E Certificate or Fee		Applicant: <b>Martin A. Parker, et al</b>			
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)		Filing Date <b>December 19, 2001</b>		Group <b>2612</b>	

U.S. PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	U.S. Serial No. 09/898,230		Rajan L. Joshi, et al			July 3, 2001
	5,697,001	Dec. 9, 1997	Ring et al.			Apr. 24, 1996
	5,913,088	Jun. 15, 1999	Moghadam et al.			Sep. 6, 1996
	5,978,514	Nov. 2, 1999	Yamaguchi et al.			Oct. 1, 1997
	6,282,317	Aug. 28, 2001	Luo et al.			Dec. 31, 1998

FOREIGN PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	IEEE Transactions on Acoustics, Speech, and Signal Processing, Vol. 36, No. 9, September 1988, by Yair Shoham, et al., titled "Efficient Bit Allocation for an Arbitrary Set of Quantizers," pages 1445-1453
	J. Soc. Photogr. Sci. Technol. Japan, Vol. 59, No. 1, 1996, by Hsien-Che Lee, titled "Color Image Quantization Based on Physics and Psychophysics," pages 212-225
	IEEE Transactions on Image Processing, Vol. 9, No. 7, July 2000, by David Taubman, titled, "High Performance Scalable Image Compression with EBCOT," pages 1158-1170
	Internation Telecommunication Union, The International Telegraph and Telephone Consultative Committee, CCITT, T.81, 09/92, titled "Information Technology - Digital Compression and Coding of Continuous-Tone Still Images - Requirements and Guidelines
	"JPEG, Still Image Data Compression Standard," by William B. Pennebaker and Joan L. Mitchell, 1993
	"JPEG2000 Image Coding System," ISO/IEC International Standard 15444-1, ITU Recommendation T.800,2000
	"Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference," by Judea Pearl, 1988

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.